

RQC, an IND-CCA2 PKE based on Rank Metric

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<https://pqc-rqc.org>

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Agenda

- 1 Rank metric overview
- 2 Description of the scheme
- 3 Round 2 modifications

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Rank weight and support

Let β_1, \dots, β_m be a basis of \mathbb{F}_{q^m} over \mathbb{F}_q . To each vector $\mathbf{x} \in \mathbb{F}_{q^m}^n$, one can associate a matrix \mathbf{M}_x

$$\mathbf{x} = (x_0, \dots, x_{n-1}) \in \mathbb{F}_{q^m}^n \leftrightarrow \mathbf{M}_x = \begin{pmatrix} x_{0,0} & \dots & x_{0,n-1} \\ \vdots & \ddots & \dots \\ x_{m-1,0} & \dots & x_{m-1,n-1} \end{pmatrix} \in \mathbb{F}_q^{m \times n}$$

Definition (Rank weight)

Let $\mathbf{x} \in \mathbb{F}_{q^m}^n$, $|\mathbf{x}|_r = \text{Rank}(\mathbf{M}_x)$ where $\mathbf{M}_x = (x_{i,j})$ with $x_j = \sum_{i=0}^{m-1} x_{i,j} \beta_i$.

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Definition (Support)

The support of a word is the \mathbb{F}_q -subspace generated by its coordinates:

$$\text{Supp}(\mathbf{x}) = \langle x_0, \dots, x_{n-1} \rangle_{\mathbb{F}_q}$$

Difficult problems in rank metric

Problem (Rank Syndrome Decoding problem)

Given $\mathbf{H} \in \mathbb{F}_{q^m}^{(n-k) \times n}$, $\mathbf{s} \in \mathbb{F}_{q^m}^{n-k}$, an integer r , find $\mathbf{e} \in \mathbb{F}_{q^m}^n$ such that:

- ◇ $\mathbf{H}\mathbf{e}^T = \mathbf{s}^T$
- ◇ $|\mathbf{e}|_r = r$

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Probabilistic reduction to the NP-Complete SD problem [GZ16]

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Public data: \mathbf{G} is a generator matrix of some public code \mathcal{C}

Secret key: $\mathbf{sk} = (\mathbf{x}, \mathbf{y})$, Public key: $\mathbf{pk} = (\mathbf{h}, \mathbf{s})$, Ciphertext: $\mathbf{ct} = (\mathbf{u}, \mathbf{v})$

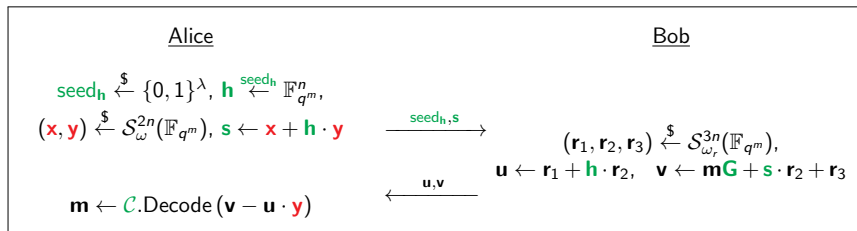


Figure: Informal description of RQC.PKE

RQC description

Correctness

$$\begin{aligned} \mathbf{v} - \mathbf{u} \cdot \mathbf{y} &= \mathbf{mG} + (\mathbf{x} + \mathbf{h} \cdot \mathbf{y}) \cdot \mathbf{r}_2 + \mathbf{r}_3 - (\mathbf{r}_1 + \mathbf{h} \cdot \mathbf{r}_2) \cdot \mathbf{y} \\ &= \mathbf{mG} + \mathbf{x} \cdot \mathbf{r}_2 - \mathbf{y} \cdot \mathbf{r}_1 + \mathbf{r}_3 \end{aligned}$$

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Decrypts whenever the public code \mathcal{C} decodes the small weight error $\mathbf{x} \cdot \mathbf{r}_2 - \mathbf{y} \cdot \mathbf{r}_1 + \mathbf{r}_3$ for (\mathbf{x}, \mathbf{y}) and $(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)$ small rank weight vectors

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- ◇ Choice for \mathcal{C} : **Gabidulin codes** hence no decryption failure

Semantic security

Theorem

Under the assumption of the hardness of the 2-DIRSD and 3-DIRSD problems, RQC is IND-CPA in the Random Oracle Model.

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- ◇ IND-CPA RQC PKE \rightarrow IND-CCA2 RQC KEM using [HHK17]
- ◇ IND-CCA RQC KEM \rightarrow IND-CCA2 RQC PKE using Hybrid Encryption

Parameters

	Public Key	Secret Key	Ciphertext	Shared Secret	DFR
RQC 128	853	40	1,690	64	0
RQC 192	1,391	40	2,766	64	0
RQC 256	2,284	40	4,552	64	0

Figure: RQC sizes expressed in bytes

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NIST comments on RQC

From NIST report [AAA⁺19]

- ◇ Rank metric adds significant diversity to the standardization process
- ◇ RQC has the most conservative approach to IND-CCA2 security in rank metric (no DFR, no code indistinguishability assumption)

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- ◇ RQC has the most conservative approach to IND-CCA2 security in rank metric (no DFR, no code indistinguishability assumption)

- ◇ Additional analysis on algebraic attacks is required
- ◇ RQC suffers in decryption speed

Security-related changes

- ◇ **Improved analysis on algebraic attacks using Groebner basis**

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- ◇ **Improved analysis on algebraic attacks using Groebner basis**
- ◇ RQC relies on ideal codes (generalization from quasi-cyclic codes)
- ◇ Parameters updated so that error weight increases regularly with each level of security (small increase in parameter size)

Reference implementation

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- ◇ Outperforms MPFQ-based and NTL-based implementations
- ◇ Rank-Based Cryptography Library (rbc-lib.org) will be released to promote community development on rank based cryptography
- ◇ Recently submitted to SUPERCOP (reference and optimized)

Optimized implementation

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- ◇ **Significant improvement on decapsulation time**

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	AVX2 Implementation			Improvement vs 2019/04/10		
	Keygen	Encaps	Decaps	Keygen	Encaps	Decaps
RQC 128	0.20	0.28	1.02	x3.5	x4.6	x6.5
RQC 192	0.38	0.55	2.22	x3.0	x4.0	x6.6
RQC 256	0.62	0.89	3.74	x2.9	x4.0	x6.2

Figure: Performances in millions of CPU cycles and comparison to reference implementation from 2019/04/10 package using an i7-7820 @3.6GHz CPU

Towards constant time implementation

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- ◇ **Small performance overhead** (less than 10%)
- ◇ Implementation of the new algorithm available. Additional effort required to get a constant-time implementation (ongoing work)

Conclusion

Take away

- ◇ RQC is a code-based **IND-CCA2 PKE** using the **rank metric**
- ◇ Rank metric **adds diversity** to the standardization process

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- ◇ RQC features **attractive key sizes** w.r.t. to code-based schemes
- ◇ RQC features a **conservative approach**
 - **No decryption failure**
 - No code indistinguishability assumption

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- ◇ RQC is a code-based **IND-CCA2 PKE** using the **rank metric**
- ◇ Rank metric **adds diversity** to the standardization process
- ◇ RQC features **attractive key sizes** w.r.t. to code-based schemes
- ◇ RQC features a **conservative approach**
 - **No decryption failure**
 - No code indistinguishability assumption
- ◇ RQC features **good performances** w.r.t. to code-based schemes
 - Constant time achievable with small overhead

Conclusion

- [AAA⁺19] Gorjan Alagic, Jacob Alperin-Sheriff, Daniel Apon, David Cooper, Quynh Dang, Yi-Kai Liu, Carl Miller, Dustin Moody, Rene Peralta, Ray Perlner, Angela Robinson, and Daniel Smith-Tone. *Status report on the first round of the NIST post-quantum cryptography standardization process*. NIST, 2019.
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- [HHK17] Dennis Hofheinz, Kathrin Hövelmanns, and Eike Kiltz. A modular analysis of the Fujisaki-Okamoto transformation. In *Theory of Cryptography Conference*, pages 341–371. Springer, 2017.

Thank you for your attention. Questions ?